

Section 4.2 Subspaces

Objectives.

- Introduce the notion of a subspace of a vector space.
- Determine whether a subset of a vector space is a subspace.
- Discuss some subspaces of real vector spaces.

Recall that a vector space is a set V that generalizes the vector arithmetic of \mathbb{R}^n – vectors in V can be added or scaled without leaving V , and these operations are consistent with the usual rules of arithmetic.

Suppose that W is a set of vectors in a vector space V . We call W a subspace of V if W is a vector space with the operations of addition and scalar multiplication from V .

i.e. a subspace is a vector space inside a larger vector space.

Example 1. If V is any vector space, and $\vec{0}$ is the zero vector in V , then $W = \{\vec{0}\}$ is a subspace of V .

why? $W \subseteq V$ and $W = \{\vec{0}\}$ is a vector space.
every vector in W is also in V .

Six of the ten axioms for a vector space are satisfied by every subset of vectors. The four axioms that need to be checked are:

- closure under addition axiom 1
- existence of $\vec{0}$ axiom 4
- existence of negatives axiom 5
- closure under scalar multiplication. axiom 6

Subspace Test. If W is a nonempty set of vectors in a vector space V , then W is a subspace of V if and only if both of the following conditions are satisfied.

1. If \vec{u} and \vec{v} are in W , then $\vec{u} + \vec{v}$ is in W .
2. If \vec{u} is in W and k is a scalar, then $k\vec{u}$ is in W

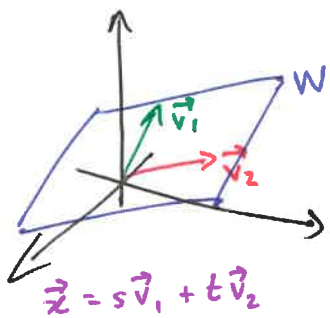
Strategy. To show that W is a subspace of V :

- show that if \vec{u}_1, \vec{u}_2 are in W , then $\vec{u}_1 + \vec{u}_2$ is in W
- show that if \vec{u} is in W , then $k\vec{u}$ is in W for all k .

Example 2. If W is a line through the origin in \mathbb{R}^n , then W is a subspace of \mathbb{R}^n .

Let W be the line $\vec{x} = t\vec{v}$. If $\vec{u}_1 = s_1\vec{v}$ and $\vec{u}_2 = s_2\vec{v}$, then $\vec{u}_1 + \vec{u}_2 = s_1\vec{v} + s_2\vec{v} = (s_1 + s_2)\vec{v}$, so $\vec{u}_1 + \vec{u}_2$ is in W . If $\vec{u} = s\vec{v}$ and k is a scalar, then $k\vec{u} = k(s\vec{v}) = (ks)\vec{v}$, so $k\vec{u}$ is in W . Because W is closed under addition and closed under scalar multiplication, W is a subspace of \mathbb{R}^n .

Example 3. If W is a plane through the origin in \mathbb{R}^3 , then W is a subspace of \mathbb{R}^3 .

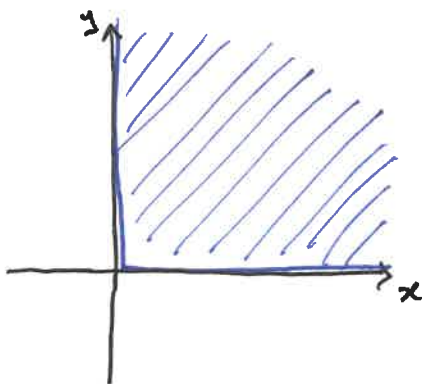


If $\vec{u}_1 = s_1\vec{v}_1 + s_2\vec{v}_2$ and $\vec{u}_2 = t_1\vec{v}_1 + t_2\vec{v}_2$, then $\vec{u}_1 + \vec{u}_2 = (s_1\vec{v}_1 + s_2\vec{v}_2) + (t_1\vec{v}_1 + t_2\vec{v}_2) = (s_1 + t_1)\vec{v}_1 + (s_2 + t_2)\vec{v}_2$.

If $\vec{u} = s\vec{v}_1 + t\vec{v}_2$ and k is a scalar, then $k\vec{u} = k(s\vec{v}_1 + t\vec{v}_2) = (ks)\vec{v}_1 + (kt)\vec{v}_2$.

Thus W is a subspace of \mathbb{R}^3 .

Example 4. The set W of all points (x, y) in \mathbb{R}^2 with $x \geq 0$ and $y \geq 0$ is not a subspace of \mathbb{R}^2 .



This set is closed under addition, but is not closed under scalar multiplication.

eg. $\vec{u} = (1, 1)$ is in W , but

$-1\vec{u} = (-1, -1)$ is not in W .

Thus W is not a subspace of \mathbb{R}^2 .

Subspaces of \mathbb{R}^2 .

- $\{\vec{0}\}$
- lines through the origin
- \mathbb{R}^2

Subspaces of \mathbb{R}^3 .

- $\{\vec{0}\}$
- lines through the origin
- planes through the origin
- \mathbb{R}^3

Recall that M_{nn} is the vector space of all $n \times n$ matrices of real numbers.

Example 5. Let W be the set of all symmetric $n \times n$ matrices.

(a) Discuss why W is a subspace of M_{nn} .

The sum of two symmetric matrices is a symmetric matrix, and a scalar multiple of a symmetric matrix is symmetric.
Thus W is a subspace of M_{nn} .

(b) What are some other subspaces of M_{nn} ?

- diagonal matrices.
- upper triangular matrices.
- lower triangular matrices.

Example 6. Let W be the set of all invertible 2×2 matrices.

(a) Find two matrices A and B in W such that $A + B$ is not in W . (What does this example show?)

$A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ and $B = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$ are in W (because $\det \neq 0$),
but $A + B = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ is not in W (b/c $\det(A+B) = 0$).

Thus W is not closed under addition, and thus is not a subspace of M_{22} .

(b) Find a matrix A and a scalar k such that kA is not in W . (What does this example show?)

$A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ is in W (b/c $\det A \neq 0$), but $0A = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ is not in W (b/c $\det(0A) = 0$).

Thus W is not closed under scalar ~~multiplication~~^{multiplication}, and thus is not a subspace of M_{22} .

Note: more generally, the set of all invertible $n \times n$ matrices is not a subspace of M_{nn} .

Recall that $F(-\infty, \infty)$ is the set of all (real-valued) functions defined on the interval $(-\infty, \infty)$.

Example 7. The set $C(-\infty, \infty)$ of all *continuous* functions defined on $(-\infty, \infty)$ is a subspace of $F(-\infty, \infty)$.

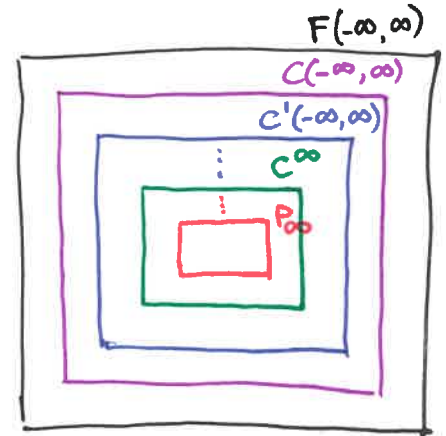
If $f(x)$ and $g(x)$ are continuous, then $f(x)+g(x)$ and $kf(x)$ are also continuous.

Example 8. The following sets of functions are subspaces of $F(-\infty, \infty)$.

(a) $C^1(-\infty, \infty)$ • all f^n s f where the derivative is continuous.

(b) $C^m(-\infty, \infty)$ • all f^n s f where the first m derivatives are continuous.
 \uparrow positive
 m is any integer

(c) $C^\infty(-\infty, \infty)$ • all f^n s f where every derivative is continuous.



A polynomial of degree n is a function that can be written

$$p(x) = a_0 + a_1x + \cdots + a_nx^n,$$

where a_0, a_1, \dots, a_n are constants and $a_n \neq 0$.

Example 9. The set P_∞ of all polynomials is a subspace of $F(-\infty, \infty)$.

If $p(x)$ and $q(x)$ are ~~polys~~ polynomials, then $p(x)+q(x)$ and $kp(x)$ are both polynomials. Thus P_∞ is a subspace of $F(-\infty, \infty)$.

Example 10. The set P_n of all polynomials with degree at most n is a subspace of $F(-\infty, \infty)$.

If $p(x), q(x)$ are polynomials with degree $\leq n$, then $p(x)+q(x)$ and $kp(x)$ are polynomials with degree $\leq n$.

note: If $p(x) = 1 - 2x^2$, $q(x) = 1 + 2x^2$, then $p(x) + q(x) = 2$ has degree < 2 .

Thus the set of polynomials with degree n is not a subspace of $F(-\infty, \infty)$.

Example 11. Determine whether each set of matrices is a subspace of M_{22} .

(a) The set U of all matrices of the form $\begin{bmatrix} x & 2x \\ 0 & y \end{bmatrix}$. Let $A = \begin{bmatrix} a & 2a \\ 0 & b \end{bmatrix}$, $B = \begin{bmatrix} c & 2c \\ 0 & d \end{bmatrix}$. Then:

$$A + B = \begin{bmatrix} a+c & 2(a+c) \\ 0 & b+d \end{bmatrix} \text{ is in } U \text{ (take } x=a+c, y=b+d), \text{ and}$$

$$kA = \begin{bmatrix} ka & 2ka \\ 0 & kb \end{bmatrix} \text{ is in } U \text{ (take } x=ka, y=kb).$$

Thus U is a subspace of M_{22} .

(b) The set W of all 2×2 matrices A such that $A \begin{bmatrix} 1 \\ 2 \end{bmatrix} = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$.

$$\text{Let } A = \begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix}. \text{ Then: } A \begin{bmatrix} 1 \\ 2 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \end{bmatrix} = \begin{bmatrix} 1 \\ -1 \end{bmatrix} \text{ so } A \text{ is in } W.$$

$$\text{But } (2A) \begin{bmatrix} 1 \\ 2 \end{bmatrix} = 2 \begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \end{bmatrix} = \begin{bmatrix} 2 \\ -2 \end{bmatrix}, \text{ so } 2A \text{ is not in } W.$$

Thus W is not closed under scalar multiplication, so is not a subspace of M_{22} .

Example 12. Determine whether each set of polynomials is a subspace of P_2 .

(a) The set U of all polynomials of the form $p(x) = 1 - ax + ax^2$.

$$\text{If } p(x) = 1 - x + x^2 \text{ and } q(x) = 1 - 2x + 2x^2, \text{ then } p, q \text{ are in } U$$

$$\text{but } p(x) + q(x) = 2 - 3x + 3x^2 \text{ is not in } U.$$

Thus U is not a subspace of P_2 .

(b) The set W of all polynomials such that $p(3) = 0$.

$$\text{If } p, q \text{ satisfy } p(3) = 0 \text{ and } q(3) = 0, \text{ then}$$

$$(p+q)(3) = p(3) + q(3) = 0 + 0 = 0, \text{ and}$$

$$(kp)(3) = k p(3) = k \cdot 0 = 0.$$

Thus $p+q$ and kp are in W , so W is a subspace of P_2 .

Theorem. If W_1, W_2, \dots, W_k are all subspaces of a vector space V , then the set W of all vectors in the intersection of these subspaces is a subspace of V .

all vectors in every subspaces W_1, W_2, \dots, W_k .

Theorem. Let A be an $m \times n$ matrix. The set of all solutions \vec{x} to the homogeneous linear system $A\vec{x} = \vec{0}$ is a subspace of \mathbb{R}^n .

why? If $A\vec{x}_1 = \vec{0}$ and $A\vec{x}_2 = \vec{0}$, then $A(\vec{x}_1 + \vec{x}_2) = A\vec{x}_1 + A\vec{x}_2 = \vec{0}$
and $A(k\vec{x}_1) = k(A\vec{x}_1) = k\vec{0} = \vec{0}$.

The solution space in the previous theorem is called the kernel of the linear transformation $T_A : \mathbb{R}^n \rightarrow \mathbb{R}^m$.

Theorem. Let A be an $m \times n$ matrix. Then the kernel of the linear transformation $T_A : \mathbb{R}^n \rightarrow \mathbb{R}^m$ is a subspace of \mathbb{R}^n .

Example 13. Describe the geometry of the solution space for each homogeneous linear system.

(a)
$$\begin{bmatrix} 1 & -2 & 3 \\ 2 & -4 & 6 \\ 3 & -6 & 9 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$
 The solution space is $x = 2s - 3t$, $y = s$, $z = t$.
This is a plane through the origin in \mathbb{R}^3 with normal vector $(1, -2, 3)$.

(b)
$$\begin{bmatrix} 1 & 2 & -3 \\ -3 & 7 & -8 \\ -2 & 4 & -6 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$
 The solution space is $x = -5t$, $y = -t$, $z = t$.
This is a line through the origin in \mathbb{R}^3 parallel to $\vec{v} = (-5, -1, 1)$.

(c)
$$\begin{bmatrix} 1 & 2 & -3 \\ -3 & 7 & -8 \\ 4 & 1 & 2 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$
 The solution space is $x = 0$, $y = 0$, $z = 0$.
This is the point at the origin.

(d)
$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$
 The solution space is all (x, y, z) in \mathbb{R}^3 .